

VOTE SUMMARY REPORT

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): HORIZONS GLOBAL
LITHIUM PRODUCERS INDEX ETF

Ganfeng Lithium Co., Ltd.

Meeting Date: 08/25/2022 **Country:** China **Ticker:** 1772
Record Date: 08/19/2022 **Meeting Type:** Extraordinary
Shareholders
Primary Security ID: Y2690M105

Shares Voted: 127,426

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Adopt 2022 Share Option Incentive Scheme	Mgmt	For	For	For
2	Approve Assessment Management Measures in Respect of the Implementation of the 2022 Share Option Incentive Scheme	Mgmt	For	For	For
3	Authorize Board to Handle All Matters in Relation to the 2022 Share Option Incentive Scheme	Mgmt	For	For	For

Ganfeng Lithium Co., Ltd.

Meeting Date: 08/25/2022 **Country:** China **Ticker:** 1772
Record Date: 08/19/2022 **Meeting Type:** Special
Primary Security ID: Y2690M105

Shares Voted: 127,426

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
1	Adopt 2022 Share Option Incentive Scheme	Mgmt	For	For	For
2	Approve Assessment Management Measures in Respect of the Implementation of the 2022 Share Option Incentive Scheme	Mgmt	For	For	For
3	Authorize Board to Handle All Matters in Relation to the 2022 Share Option Incentive Scheme	Mgmt	For	For	For

Ganfeng Lithium Co., Ltd.

Meeting Date: 09/30/2022 **Country:** China **Ticker:** 1772
Record Date: 09/26/2022 **Meeting Type:** Extraordinary
Shareholders
Primary Security ID: Y2690M105

Ganfeng Lithium Co., Ltd.

Shares Voted: 109,521

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	SPECIAL RESOLUTIONS	Mgmt			
1	Approve Change of Company Name	Mgmt	For	For	For
2	Amend Articles of Association	Mgmt	For	For	For
	ORDINARY RESOLUTIONS	Mgmt			
1	Approve Capacity Construction Scale Improvement of Ganfeng LiEnergy New-Type Lithium Battery Project with 15 GWh Annual Capacity	Mgmt	For	For	For
2	Approve Investment and Construction of New-Type Lithium Battery Production Project with 6 GWh Annual Capacity by Ganfeng LiEnergy	Mgmt	For	For	For
3	Approve Investment and Construction of Small Polymer Lithium Battery Project with 2 Billion Units Annual Capacity by Ganfeng New Lithium Source	Mgmt	For	For	For

Lithium Power International Limited

Meeting Date: 10/28/2022

Country: Australia

Ticker: LPI

Record Date: 10/26/2022

Meeting Type: Extraordinary Shareholders

Primary Security ID: Q5594Q105

Shares Voted: 143,595

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve SBD Transaction and Issuance of Consideration Shares to Minera Salar Blanco SpA	Mgmt	For	For	For
2	Approve Issuance of Share Appreciation Rights to Cristobal Garcia-Huidobro	Mgmt	For	Against	Against
3	Approve Issuance of Share Appreciation Rights to Richard Crookes	Mgmt	For	Against	Against
4	Approve Issuance of Share Appreciation Rights to Andrew Phillips	Mgmt	For	Against	Against
5	Approve Issuance of Director Options to David Hannon	Mgmt	For	Against	Against
6	Approve Issuance of Director Options to Russel Barwick	Mgmt	For	Against	Against

Lithium Power International Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Ratify Past Issuance of Employee Share Appreciation Rights to Tarek Halasa, Andres Lafuente and Francisco Bartucevic	Mgmt	For	For	For
8	Approve Issuance of Adviser Options to Treadstone Resource Partners Pty Ltd	Mgmt	For	For	For

Lithium Power International Limited

Meeting Date: 11/14/2022 **Country:** Australia **Ticker:** LPI
Record Date: 11/12/2022 **Meeting Type:** Annual
Primary Security ID: Q5594Q105

Shares Voted: 143,595

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Russell Barwick as Director	Mgmt	For	Against	Against
3	Elect Martin Borda as Director	Mgmt	For	Against	Against
4	Ratify Past Issuance of Shares to Sophisticated and Institutional Investors	Mgmt	For	For	For
5	Ratify Past Issuance of Shares to Lysander Lithium Pty Ltd	Mgmt	For	For	For
6	Adopt New Constitution	Mgmt	For	Against	Against

Allkem Ltd.

Meeting Date: 11/15/2022 **Country:** Australia **Ticker:** AKE
Record Date: 11/13/2022 **Meeting Type:** Annual
Primary Security ID: Q0226L103

Shares Voted: 222,578

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Peter Coleman as Director	Mgmt	For	For	For
3	Elect Richard Seville as Director	Mgmt	For	For	For
4	Elect Fernando Oris de Roa as Director	Mgmt	For	For	For
5	Elect Leanne Heywood as Director	Mgmt	For	For	For
6	Adopt New Constitution	Mgmt	For	For	For
7	Approve Proportional Takeover Provisions	Mgmt	For	For	For

Allkem Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Non-Executive Director Share Plan	Mgmt	None	For	For
9	Approve Performance Rights and Options Plan	Mgmt	For	For	For
10	Approve Grant of STI Performance Rights to Perez de Solay	Mgmt	For	For	For
11	Approve Grant of LTI Performance Rights to Perez de Solay	Mgmt	For	For	For

Sayona Mining Limited

Meeting Date: 11/16/2022 **Country:** Australia **Ticker:** SYA
Record Date: 11/14/2022 **Meeting Type:** Annual
Primary Security ID: Q8329N108

Shares Voted: 173,863

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	None	For	For
2	Elect Paul Crawford as Director	Mgmt	For	Against	Against
3	Ratify Past Issuance of Shares to Institutional, Professional and Sophisticated Investors	Mgmt	For	For	For
4	Approve Issuance of Shares to Acuity Capital Investment Management Pty Ltd	Mgmt	For	For	For
5	Ratify Past Issuance of Shares to Piedmont Lithium Inc	Mgmt	For	For	For
6	Approve Employee Share & Option Plan	Mgmt	None	For	For

IGO Ltd.

Meeting Date: 11/17/2022 **Country:** Australia **Ticker:** IGO
Record Date: 11/15/2022 **Meeting Type:** Annual
Primary Security ID: Q4875H108

Shares Voted: 231,957

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Tracey Arlaud as Director	Mgmt	For	For	For
2	Elect Justin Osborne as Director	Mgmt	For	For	For
3	Approve Remuneration Report	Mgmt	For	For	For
4	Approve Issuance of Service Rights to Peter Bradford	Mgmt	For	For	For

IGO Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Issuance of Performance Rights and Options to Peter Bradford	Mgmt	For	Against	Against
6	Approve Termination Payment to Dan Lougher	Mgmt	For	For	For
7	Approve IGO Employee Incentive Plan	Mgmt	For	For	For
8	Approve Increase of Non-Executive Director Fee Pool	Mgmt	None	For	For
9	Approve Renewal of the Proportional Takeover Provisions	Mgmt	For	For	For
10	Approve the Provision of Financial Assistance in Relation to the Acquisition	Mgmt	For	For	For

Mineral Resources Limited

Meeting Date: 11/17/2022 **Country:** Australia **Ticker:** MIN
Record Date: 11/15/2022 **Meeting Type:** Annual
Primary Security ID: Q60976109

Shares Voted: 49,688

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Lulezim (Zimi) Meka as Director	Mgmt	For	For	For
3	Elect James McClements as Director	Mgmt	For	For	For
4	Elect Susan (Susie) Corlett as Director	Mgmt	For	For	For
5	Approve Grant of FY22 Share Rights to Chris Ellison	Mgmt	For	For	For
6	Approve Grant of FY23 Share Rights to Chris Ellison	Mgmt	For	For	For
7	Approve Potential Termination Benefits	Mgmt	None	For	For
8	Appoint Ernst & Young as Auditor of the Company	Mgmt	For	For	For

Pilbara Minerals Limited

Meeting Date: 11/17/2022 **Country:** Australia **Ticker:** PLS
Record Date: 11/15/2022 **Meeting Type:** Annual
Primary Security ID: Q7539C100

Shares Voted: 723,631

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For

Pilbara Minerals Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Elect Anthony Kiernan as Director	Mgmt	For	For	For
3	Elect Nicholas Cernotta as Director	Mgmt	For	For	For
4	Ratify Past Issuance of Convertible Bonds to POS-LT Pty Ltd	Mgmt	For	For	For
5	Approve Issuance of Employee Performance Rights to Dale Henderson	Mgmt	For	For	For
6	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	None	For	For

Tianqi Lithium Corp.

Meeting Date: 11/18/2022

Country: China

Ticker: 9696

Record Date: 11/14/2022

Meeting Type: Extraordinary Shareholders

Primary Security ID: Y8817Q101

Shares Voted: 67,510

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES Approve Change of Domestic Auditor from ShineWing Certified Public Accountants to KPMG Huazhen LLP and Appoint KPMG as International Auditor And Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

Liontown Resources Limited

Meeting Date: 11/22/2022

Country: Australia

Ticker: LTR

Record Date: 11/20/2022

Meeting Type: Annual

Primary Security ID: Q5569M105

Shares Voted: 62,469

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	None	For	For
2	Elect Anthony Cipriano as Director	Mgmt	For	Against	Against
3	Elect Shane McLeay as Director	Mgmt	For	For	For
4	Elect Adrienne Parker as Director	Mgmt	For	For	For
5	Approve Issuance of Performance Rights to Antonino Ottaviano	Mgmt	For	For	For
6	Appoint Deloitte Touche Tohmatsu as Auditor of the Company	Mgmt	For	For	For

Core Lithium Ltd

Meeting Date: 11/24/2022

Country: Australia

Ticker: CXO

Record Date: 11/22/2022

Meeting Type: Annual

Primary Security ID: Q2887W105

Shares Voted: 552,248

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Heath Hellewell as Director	Mgmt	For	Against	Against
3	Ratify Past Issuance of Performance Rights to Employees	Mgmt	For	For	For
4	Ratify Past Issuance of Placement Shares to Various Investors	Mgmt	For	For	For
5	Approve Incentive Plan	Mgmt	None	Against	Against
6	***Withdrawn Resolution*** Approve the Amendments to the Company's Constitution	Mgmt			
7	Approve Renewal of the Proportional Takeover Provisions	Mgmt	For	For	For

Global Lithium Resources Ltd.

Meeting Date: 11/24/2022

Country: Australia

Ticker: GL1

Record Date: 11/22/2022

Meeting Type: Annual

Primary Security ID: Q4050P107

Shares Voted: 65,403

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Warrick Hazeldine as Director	Mgmt	For	For	For
3	Elect Gregory Lilleyman as Director	Mgmt	For	For	For
4	Elect Hayley Lawrance as Director	Mgmt	For	For	For
5	Approve Issuance of Performance Rights to Ronald Mitchell	Mgmt	For	Against	Against
6	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	None	Against	Against
7	Approve the Amendments to the Company's Constitution	Mgmt	For	Against	Against

Vulcan Energy Resources Ltd

Meeting Date: 11/29/2022

Country: Australia

Ticker: VUL

Record Date: 11/27/2022

Meeting Type: Annual

Primary Security ID: Q9496S102

Vulcan Energy Resources Ltd

Shares Voted: 12,977

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Ratify Past Issuance of Placement Shares to Stellantis N.V.	Mgmt	For	For	For
3	Elect Annie Liu as Director	Mgmt	For	For	For
4	Elect Gunter Hilken as Director	Mgmt	For	For	For
5	Elect Mark Skelton as Director	Mgmt	For	For	For
6	Approve Issuance of Performance Rights to Francis Wedin	Mgmt	For	For	For
7	Approve Issuance of Performance Rights to Gunter Hilken	Mgmt	For	Against	Against
8	Approve Issuance of Performance Rights to Mark Skelton	Mgmt	For	Against	Against
9	Approve the Increase in Total Aggregate Remuneration for Non-Executive Directors	Mgmt	None	For	For

Arizona Lithium Limited

Meeting Date: 11/30/2022

Country: Australia

Ticker: AZL

Record Date: 11/28/2022

Meeting Type: Annual

Primary Security ID: Q4544E122

Shares Voted: 899,638

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Barnaby Egerton-Warburton as Director	Mgmt	For	Against	Against
3	Approve Incentive Securities Plan	Mgmt	For	For	For
4	Approve Replacement of Constitution	Mgmt	For	Against	Against
5	Approve the Issuance of Up to 10 Percent of the Company's Issued Capital	Mgmt	For	Against	Against
6	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	None	Against	Against

Ganfeng Lithium Group Co., Ltd.

Meeting Date: 12/20/2022

Country: China

Ticker: 1772

Record Date: 12/14/2022

Meeting Type: Extraordinary Shareholders

Primary Security ID: Y2690M105

Ganfeng Lithium Group Co., Ltd.

Shares Voted: 58,813

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES Approve Capital Increase, Share Expansion by Ganfeng LiEnergy and Related Party Transaction	Mgmt	For	For	For

Ganfeng Lithium Group Co., Ltd.

Meeting Date: 02/28/2023

Country: China

Ticker: 1772

Record Date: 02/22/2023

Meeting Type: Extraordinary Shareholders

Primary Security ID: Y2690M105

Shares Voted: 145,877

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES SPECIAL RESOLUTIONS Amend External Investment Management System	Mgmt	For	For	For
2	Approve Proposed Engagement in Foreign Exchange Hedging Business by the Company and Its Subsidiaries	Mgmt	For	For	For
3	Approve Application for Bank Facilities and Provision of Guarantees by the Company and Its Subsidiaries	Mgmt	For	Against	Against
4	Approve Proposed Investment in Wealth Management Products with Self-Owned Funds	Mgmt	For	Against	Against
5	Approve Continuing Related-Party Transactions for 2023	Mgmt	For	For	For
1	ORDINARY RESOLUTIONS Approve Proposed Signing of Investment Agreement by Ganfeng LiEnergy	Mgmt	For	Against	Against
2	Approve Proposed Investment and Construction of New-Type Lithium Battery and Energy Storage Headquarters Project with 10 GWh Annual Capacity by Ganfeng LiEnergy	Mgmt	For	For	For

COSMO CHEMICAL Co., Ltd.

Meeting Date: 03/29/2023

Country: South Korea

Ticker: 005420

Record Date: 12/31/2022

Meeting Type: Annual

Primary Security ID: Y1093D100

COSMO CHEMICAL Co., Ltd.

Shares Voted: 12,014

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Ahn Seong-deok as Inside Director	Mgmt	For	For	For
2.2	Elect Choi Jae-yong as Inside Director	Mgmt	For	For	For
2.3	Elect Park Seong-jun as Inside Director	Mgmt	For	For	For
2.4	Elect Kim Ju-yong as Inside Director	Mgmt	For	For	For
2.5	Elect Park Hyeong-cheol as Inside Director	Mgmt	For	For	For
2.6	Elect Min Gyeong-jib as Outside Director	Mgmt	For	For	For
2.7	Elect Kim Cheon-rae as Outside Director	Mgmt	For	For	For
3	Elect Kim Jae-hyeok as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
4.1	Elect Min Gyeong-jib as a Member of Audit Committee	Mgmt	For	For	For
4.2	Elect Kim Cheon-rae as a Member of Audit Committee	Mgmt	For	For	For
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Standard Lithium Ltd.

Meeting Date: 04/04/2023

Country: Canada

Ticker: SLI

Record Date: 02/17/2023

Meeting Type: Annual/Special

Primary Security ID: 853606101

Shares Voted: 60,119

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
2.1	Elect Director Robert Cross	Mgmt	For	For	For
2.2	Elect Director Andrew Robinson	Mgmt	For	For	For
2.3	Elect Director Robert Mintak	Mgmt	For	For	For
2.4	Elect Director Jeffrey Barber	Mgmt	For	For	For
2.5	Elect Director Anthony Alvaro	Mgmt	For	For	For
2.6	Elect Director Volker Berl	Mgmt	For	For	For
2.7	Elect Director Claudia D'Orazio	Mgmt	For	For	For

Standard Lithium Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.8	Elect Director Anca Rusu	Mgmt	For	For	For
3	Amend Stock Option Plan	Mgmt	For	Against	Against
4	Amend Incentive Plan	Mgmt	For	For	For

Tianqi Lithium Corp.

Meeting Date: 04/14/2023 **Country:** China **Ticker:** 9696
Record Date: 04/06/2023 **Meeting Type:** Extraordinary Shareholders
Primary Security ID: Y8817Q101

Shares Voted: 61,102

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	RE-ELECTION OF DIRECTORS	Mgmt			
1.01	Elect Jiang Weiping as Director	Mgmt	For	For	For
1.02	Elect Jiang Anqi as Director	Mgmt	For	For	For
1.03	Elect Ha, Frank Chun Shing as Director	Mgmt	For	For	For
1.04	Elect Zou Jun as Director	Mgmt	For	For	For
	ELECTION OF INDEPENDENT NON-EXECUTIVE DIRECTORS	Mgmt			
2.01	Elect Xiang Chuan as Director	Mgmt	For	For	For
2.02	Elect Tang Guo Qiong as Director	Mgmt	For	For	For
2.03	Elect Huang Wei as Director	Mgmt	For	For	For
2.04	Elect Wu Changhua as Director	Mgmt	For	For	For
	ELECTION OF SUPERVISORS	Mgmt			
3.01	Elect Wang Dongjie as Supervisor	Mgmt	For	For	For
3.02	Elect Chen Zemin as Supervisor	Mgmt	For	For	For

Livent Corporation

Meeting Date: 04/25/2023 **Country:** USA **Ticker:** LTHM
Record Date: 02/27/2023 **Meeting Type:** Annual
Primary Security ID: 53814L108

Shares Voted: 41,875

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Paul W. Graves	Mgmt	For	For	For

Livent Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1b	Elect Director Andrea E. Utecht	Mgmt	For	For	For
1c	Elect Director Christina Lampe-Onnerud	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Declassify the Board of Directors	Mgmt	For	For	For
5	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For

Sociedad Quimica y Minera de Chile SA

Meeting Date: 04/26/2023 **Country:** Chile **Ticker:** SQM.B
Record Date: 03/22/2023 **Meeting Type:** Annual
Primary Security ID: P8716X108

Shares Voted: 26,191

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Designate Auditors	Mgmt	For	For	For
3	Designate Risk Assessment Companies	Mgmt	For	For	For
4	Designate Account Inspectors	Mgmt	For	For	For
5	Approve Investment Policy	Mgmt	For	For	For
6	Approve Financing Policy	Mgmt	For	For	For
7	Approve Dividends	Mgmt	For	For	For
	Vote for Item 8.A or Item 8.B only; If You Vote for More than One Option, then the Ballot on this Resolution will not Count	Mgmt			
8.A	Elect Antonio Gil Nievas as Director Representing Series B Shareholders	Mgmt	None	For	For
8.B	Elect Directors	Mgmt	None	Abstain	Abstain
9	Approve Remuneration of Board of Directors and Board Committees	Mgmt	For	For	For
10	Designate Newspaper to Publish Meeting Announcements, Other Business and Execution of Shareholders' Meeting Resolutions	Mgmt	For	For	For

5E Advanced Materials, Inc.

Meeting Date: 04/27/2023

Country: USA

Ticker: FEAM

Record Date: 03/15/2023

Meeting Type: Annual

Primary Security ID: 33830Q109

Shares Voted: 15,771

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.01	Elect David Jay Salisbury as Director	Mgmt	For	Against	Against
1.02	Elect Stephen Hunt as Director	Mgmt	For	For	For
1.03	Elect H. Keith Jennings as Director	Mgmt	For	For	For
1.04	Elect Sen Ming (Jimmy) Lim as Director	Mgmt	For	For	For
1.05	Elect Graham van't Hoff as Director	Mgmt	For	For	For
2	Appoint PricewaterhouseCoopers LLP as Auditor of the Company	Mgmt	For	For	For
3	Ratify Past Issuance of Convertible Notes to Bluescape Special Situations IV LLC	Mgmt	For	For	For
4	Approve Existing Grants under the 2022 Equity Compensation Plan	Mgmt	For	For	For
5	Approve 2022 Equity Compensation Plan	Mgmt	For	For	For
6	Approve Participation by the Directors in the 2022 Equity Compensation Plan	Mgmt	For	For	For
7.01	Approve Grant of Director Share Units to David Jay Salisbury	Mgmt	For	For	For
7.02	Approve Grant of Director Share Units to Sen Ming (Jimmy) Lim	Mgmt	For	For	For
7.03	Approve Grant of Director Share Units to Stephen Hunt	Mgmt	For	For	For
7.04	Approve Grant of Director Share Units to Graham van't Hoff	Mgmt	For	For	For
7.05	Approve Grant of Director Share Units to H. Keith Jennings	Mgmt	For	For	For
8.01	Approve Issuance of Shares of Common Stock to David Jay Salisbury	Mgmt	For	For	For
8.02	Approve Issuance of Shares of Common Stock to Sen Ming (Jimmy) Lim	Mgmt	For	For	For
8.03	Approve Issuance of Shares of Common Stock to Stephen Hunt	Mgmt	For	For	For
8.04	Approve Issuance of Shares of Common Stock to Patricia Mishic O'Brien	Mgmt	For	For	For

Albemarle Corporation

Meeting Date: 05/02/2023

Country: USA

Ticker: ALB

Record Date: 03/07/2023

Meeting Type: Annual

Primary Security ID: 012653101

Albemarle Corporation

Shares Voted: 9,408

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director M. Lauren Brlas	Mgmt	For	For	For
1b	Elect Director Ralf H. Cramer	Mgmt	For	For	For
1c	Elect Director J. Kent Masters, Jr.	Mgmt	For	For	For
1d	Elect Director Glenda J. Minor	Mgmt	For	For	For
1e	Elect Director James J. O'Brien	Mgmt	For	For	For
1f	Elect Director Diarmuid B. O'Connell	Mgmt	For	For	For
1g	Elect Director Dean L. Seavers	Mgmt	For	For	For
1h	Elect Director Gerald A. Steiner	Mgmt	For	For	For
1i	Elect Director Holly A. Van Deursen	Mgmt	For	For	For
1j	Elect Director Alejandro D. Wolff	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Approve Non-Employee Director Restricted Stock Plan	Mgmt	For	For	For

TETRA Technologies, Inc.

Meeting Date: 05/24/2023

Country: USA

Ticker: TTI

Record Date: 03/27/2023

Meeting Type: Annual

Primary Security ID: 88162F105

Shares Voted: 43,464

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Mark E. Baldwin	Mgmt	For	For	For
1.2	Elect Director Thomas R. Bates, Jr.	Mgmt	For	For	For
1.3	Elect Director Christian A. Garcia	Mgmt	For	For	For
1.4	Elect Director John F. Glick	Mgmt	For	For	For
1.5	Elect Director Gina A. Luna	Mgmt	For	For	For
1.6	Elect Director Brady M. Murphy	Mgmt	For	For	For
1.7	Elect Director Sharon B. McGee	Mgmt	For	For	For
1.8	Elect Director Shawn D. Williams	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

TETRA Technologies, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For
6	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	For	For
7	Provide Right to Call Special Meeting	Mgmt	For	For	For
8	Amend Certificate of Incorporation	Mgmt	For	For	For
9	Adopt NOL Rights Plan (NOL Pill)	Mgmt	For	For	For
10	Ratify Grant Thornton LLP as Auditors	Mgmt	For	For	For
11	Provide Right to Call a Special Meeting at a 10 Percent Ownership Threshold	SH	Against	For	For

Vulcan Energy Resources Ltd

Meeting Date: 05/29/2023 **Country:** Australia **Ticker:** VUL
Record Date: 05/27/2023 **Meeting Type:** Annual
Primary Security ID: Q9496S102

Shares Voted: 48,302

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Gavin Rezos as Director	Mgmt	For	For	For
3	Elect Heidi Gron as Director	Mgmt	For	For	For
4	Approve Issuance of Performance Rights to Ranya Alkadamani	Mgmt	For	For	For
5	Ratify Past Issuance of Placement Shares to Professional, Sophisticated and Experienced Investors	Mgmt	For	Against	Against

International CSRC Investment Holdings Co. Ltd.

Meeting Date: 05/30/2023 **Country:** Taiwan **Ticker:** 2104
Record Date: 03/31/2023 **Meeting Type:** Annual
Primary Security ID: Y15055109

Shares Voted: 365,780

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Report and Financial Statements	Mgmt	For	For	For

International CSRC Investment Holdings Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Profit Distribution	Mgmt	For	For	For
	ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt			
3.1	Elect Hsiao Yu Chieh, with Shareholder NO.T12225XXX, as Independent Director	Mgmt	For	For	For

Firefinch Limited

Meeting Date: 05/31/2023 **Country:** Australia **Ticker:** FFX
Record Date: 05/29/2023 **Meeting Type:** Annual
Primary Security ID: Q3917G102

Shares Voted: 661,283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Brad Gordon as Director	Mgmt	For	For	For

Piedmont Lithium, Inc.

Meeting Date: 06/13/2023 **Country:** USA **Ticker:** PLL
Record Date: 04/18/2023 **Meeting Type:** Annual
Primary Security ID: 72016P105

Shares Voted: 6,703

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jeff Armstrong	Mgmt	For	For	For
1b	Elect Director Christina Alvord	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Approve Stock Option Plan Grants to Keith Phillips	Mgmt	For	Against	Against
5	Approve Grant of Restricted Stock Units to Keith Phillips	Mgmt	For	Against	Against
6	Approve Grant of Performance Stock Units to Keith Phillips	Mgmt	For	Against	Against
7	Approve Grant of Restricted Stock Units to Jeff Armstrong	Mgmt	For	For	For
8	Approve Grant of Restricted Stock Units to Christina Alvord	Mgmt	For	For	For

Piedmont Lithium, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve Grant of Restricted Stock Units to Jorge Beristain	Mgmt	For	For	For
10	Approve Grant of Restricted Stock Units to Michael Bless	Mgmt	For	For	For
11	Approve Grant of Restricted Stock Units to Claude Demby	Mgmt	For	For	For

Tianqi Lithium Corp.

Meeting Date: 06/16/2023 **Country:** China **Ticker:** 9696
Record Date: 06/12/2023 **Meeting Type:** Annual
Primary Security ID: Y8817Q101

Shares Voted: 55,268

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Work Report of the Board of Directors	Mgmt	For	For	For
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For	For
3	Approve Annual Report and Summary	Mgmt	For	For	For
4	Approve Final Financial Report	Mgmt	For	For	For
5	Approve Profit Distribution Plan	Mgmt	For	For	For
6	Approve Financial Budget Report	Mgmt	For	For	For
7	Approve Special Report on the Deposit and Use of Raised Proceeds	Mgmt	For	For	For
8	Approve Application for Registration and Issuance of Debt Financing Instruments	Mgmt	For	For	For
9	Approve Shareholders' Return Plan for the Next Three Years	Mgmt	For	For	For
10	Approve Financial Institutions for Credit Lines and Provision of Related Guarantee	Mgmt	For	Against	Against
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional A Shares or H Shares	Mgmt	For	Against	Against

Taiwan Hopax Chemicals Manufacturing Co. Ltd.

Meeting Date: 06/20/2023 **Country:** Taiwan **Ticker:** 6509
Record Date: 04/21/2023 **Meeting Type:** Annual
Primary Security ID: Y8421S105

Taiwan Hopax Chemicals Manufacturing Co. Ltd.

Shares Voted: 64,443

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
3.1	Elect TSUNG-TIEN KUO, with Shareholder No. 4, as Non-independent Director	Mgmt	For	For	For
3.2	Elect MEI-LIN KUO, with Shareholder No. 269, as Non-independent Director	Mgmt	For	For	For
3.3	Elect JOHN-SEE LEE, with Shareholder No. P100035XXX, as Non-independent Director	Mgmt	For	For	For
3.4	Elect CHI-RONG HUANG, a Representative of JORAY CO., LTD. with Shareholder No. 11123, as Non-independent Director	Mgmt	For	For	For
3.5	Elect CHUNG-SHENG CHENG, with Shareholder No. 11206, as Non-independent Director	Mgmt	For	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
3.6	Elect HSIENG-CHENG TSENG, with Shareholder No. R101595XXX, as Independent Director	Mgmt	For	For	For
3.7	Elect JEN-CHYUAN LEE, with Shareholder No. M100698XXX, as Independent Director	Mgmt	For	Against	Against
3.8	Elect LI -CHIU CHANG, with Shareholder No. M100845XXX, as Independent Director	Mgmt	For	For	For
3.9	Elect GUAN-HUA HUANG, with Shareholder No. A122013XXX, as Independent Director	Mgmt	For	For	For
4	Approve Release of Restrictions of Competitive Activities of Directors and Representatives	Mgmt	For	For	For

Ganfeng Lithium Group Co., Ltd.

Meeting Date: 06/29/2023

Country: China

Ticker: 1772

Record Date: 06/23/2023

Meeting Type: Annual

Primary Security ID: Y2690M105

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	ORDINARY RESOLUTIONS	Mgmt			
1	Approve Work Report of the Board	Mgmt	For	For	For
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For	For
3	Approve Annual Report, Summary of the Annual Report and Annual Results Announcement	Mgmt	For	For	For
4	Approve Financial Report as Respectively Audited by the Domestic and Overseas Auditors	Mgmt	For	For	For
5	Approve Ernst & Young Hua Ming LLP as Domestic Auditor and Internal Control Auditor and Ernst & Young as Overseas Auditor	Mgmt	For	For	For
6	Approve Remuneration of Domestic and Overseas Auditors and the Internal Control Auditors	Mgmt	For	For	For
7	Approve Determination of Directors' Emoluments	Mgmt	For	For	For
8	Approve Determination of Supervisors' Emoluments	Mgmt	For	For	For
9	Approve Amendments to the External Donations and Sponsorships Management System	Mgmt	For	For	For
10	Approve Amendments to the Venture Capital Investment Management System	Mgmt	For	For	For
	SPECIAL RESOLUTIONS	Mgmt			
1	Approve Profit Distribution Proposal	Mgmt	For	For	For
2	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
3	Approve General Mandate to Issue Domestic and Overseas Debt Financing Instruments	Mgmt	For	Against	Against
4	Approve Venture Capital Investment with Self-Owned Funds	Mgmt	For	Against	Against
5	Approve Continuing Related-Party Transactions Forecast Between the Company and Lithium Americas	Mgmt	For	For	For
6	Approve Proposed Derivatives Trading with Self-owned Funds	SH	For	For	For

Sigma Lithium Corporation

Meeting Date: 06/30/2023

Country: Canada

Ticker: SGML

Record Date: 05/25/2023

Meeting Type: Annual/Special

Primary Security ID: 826599102

Shares Voted: 34,904

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Calvyn Gardner	Mgmt	For	For	For
1.2	Elect Director Ana Cristina Cabral	Mgmt	For	For	For
1.3	Elect Director Bechara S. Azar	Mgmt	For	For	For
1.4	Elect Director Cesar Chicayban	Mgmt	For	For	For
1.5	Elect Director Marcelo Paiva	Mgmt	For	Withhold	Withhold
1.6	Elect Director Jose Lucas Ferreira de Melo	Mgmt	For	For	For
1.7	Elect Director Alexandre Rodrigues	Mgmt	For	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Approve Equity Incentive Plan	Mgmt	For	Against	Against