#### **VOTE SUMMARY REPORT**

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): HORIZONS ACTIVE PREFERRED SHARES ETF

## **Brookfield Asset Management Inc.**

Meeting Date: 11/09/2022	Country: Canada	Ticker: BAM.A
Record Date: 10/03/2022	Meeting Type: Special	
Primary Security ID: 112585104		

					Shares Voted: 142,524
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for Class A Preference Shareholders	Mgmt			
1	Approve Division of the Corporation into Two Publicly Traded Companies and the Distribution of its Asset Management Business Ownership	Mgmt	For	For	For

# **Royal Bank of Canada**

Meeting Date: 04/05/2023	Country: Canada	Ticker: RY
Record Date: 02/07/2023	Meeting Type: Special	
Primary Security ID: 780087102		

					Shares Voted: 16,610,262	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
	Meeting for First Preferred Series AZ Shareholders	Mgmt				
1	Preferred Shareholder Resolution: Increase Maximum Aggregate Consideration Limit of First Preferred Shares	Mgmt	For	Refer	For	

# **Element Fleet Management Corp.**

Meeting Date: 05/10/2023	Country: Canada	Ticker: EFN
Record Date: 03/15/2023	Meeting Type: Annual	
Primary Security ID: 286181201		

				Shares Voted: 0	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Vote Rec Instruction	
1.1	Elect Director David F. Denison	Mgmt	For	For	
1.2	Elect Director Virginia Addicott	Mgmt	For	For	
1.3	Elect Director Laura Dottori-Attanasio	Mgmt	For	For	
1.4	Elect Director G. Keith Graham	Mgmt	For	For	

# Element Fleet Management Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.5	Elect Director Joan Lamm-Tennant	Mgmt	For	For	
1.6	Elect Director Rubin J. McDougal	Mgmt	For	For	
1.7	Elect Director Andrew Clarke	Mgmt	For	For	
1.8	Elect Director Andrea Rosen	Mgmt	For	For	
1.9	Elect Director Arielle Meloul-Wechsler	Mgmt	For	For	
2	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	

#### **Great-West Lifeco Inc.**

Meeting Date: 05/10/2023	Country: Canada	Ticker: GWO
Record Date: 03/15/2023	Meeting Type: Annual/Special	
Primary Security ID: 39138C106		

					Shares Voted: 3,201,412
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Increase in Size of Board from Eighteen to Nineteen	Mgmt	For	For	For
2.1	Elect Director Michael R. Amend	Mgmt	For	For	For
2.2	Elect Director Deborah J. Barrett	Mgmt	For	For	For
2.3	Elect Director Robin A. Bienfait	Mgmt	For	For	For
2.4	Elect Director Heather E. Conway	Mgmt	For	For	For
2.5	Elect Director Marcel R. Coutu	Mgmt	For	For	For
2.6	Elect Director Andre Desmarais	Mgmt	For	For	For
2.7	Elect Director Paul Desmarais, Jr.	Mgmt	For	Against	Against
2.8	Elect Director Gary A. Doer	Mgmt	For	For	For
2.9	Elect Director David G. Fuller	Mgmt	For	For	For
2.10	Elect Director Claude Genereux	Mgmt	For	For	For
2.11	Elect Director Paula B. Madoff	Mgmt	For	For	For
2.12	Elect Director Paul A. Mahon	Mgmt	For	For	For
2.13	Elect Director Susan J. McArthur	Mgmt	For	For	For
2.14	Elect Director R. Jeffrey Orr	Mgmt	For	For	For
2.15	Elect Director T. Timothy Ryan	Mgmt	For	For	For
2.16	Elect Director Dhvani D. Shah	Mgmt	For	For	For
2.17	Elect Director Gregory D. Tretiak	Mgmt	For	For	For

## **Great-West Lifeco Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
2.18	Elect Director Siim A. Vanaselja	Mgmt	For	For	For	
2.19	Elect Director Brian E. Walsh	Mgmt	For	For	For	
3	Ratify Deloitte LLP as Auditors	Mgmt	For	For	For	
4	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For	